

## **Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: January 15, 2003

Sonia V. McVean

**PATENT** 36856.557

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Masakazu YAMAUCHI

Serial No.: 09/974,979

Filed: October 12, 2001

Title: THREE-TERMINAL FILTER USING AREA FLEXURAL VIBRATION MODE

Examiner: Unknown

Art Unit: 2817

## INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

Dear Sir:

TECHNOLOGY CENTER 28

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of two (2) references cited in the enclosed search report issued in a corresponding Japanese patent application. For the Examiner's convenience, we have enclosed an English translation of the Japanese search report from the corresponding Japanese Patent Application and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign U.S. Serial No. 09/974,979 January 15, 2003 Page 2 of 2

application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant(s) respectfully request(s) that the disclosed reference(s) be made of record in the subject application.

Respectfully submitted,

Date: January 15, 2003

Attorneys for Applicant(s)

Joseph R. Keating Registration No. 37,368

Christopher A. Bennett Registration No. 46,710

KEATING & BENNETT LLP 10400 Eaton Place, Suite 312 Fairfax, VA 22030 (703) 385-5200

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS			ATTY. DÒCKET NO. 36856.557		SERIAL	SERIAL NO.: 09/974,979			
FOR A	PPLICANT SCLOSURI	TS INFORMA E STATEME	ATION NT	APPLICAN	T(S): Masakazı	YAMAUCHI			
	(USE SEVERAL SHEETS IF NECESSARY)				TF.				
Sheet	1	1 of 1			FILING DATE: October 12, 2001		GROUP: 2817		
					DOCUMENTS	<u> </u>			
Examiner Initial	Document Number			Date	Name		Class	Filing Date Subclass if appropriate	
	AB								
	AC								
	AD								
	AE AF		<del></del>						
	AF		EO	DEIGNI DATI	ENT DOCUMEN	ITC			
			10	KEIGNTATI	DOCUMEN	V15		Filing Date	
	D	a NY 1						Subclass if	
	Document Number  AG 3-21069  AH 3-14824  AI			Date 05/1991	Country		Class	appropriate	
				03/1991					
					Japan				
	AJ								
	AK		****						
···········	AL	*****	VII					-	
	AM								
	AN								
	A0		(2)						
	AP	THER ART	(INCLUDING	¿ AUTHOR, '	TITLE, DATE, P	PERTINENT PAGES,	ETC.)		
	111								
	AR								
	AS								
				***					
	AT								
EXAMINER	<del></del>			DATE CONSIDERED					
	ļ						TEC)	** (	
EXAMINER:	Initial if r	eference cons	idered wheth	er or not citati	on is in conform	ange with MDED 600.	Duosellino sh		
not in conform	nance and r	not considered	d. Include cor	ov of this form	with next comorni	ance with MFEP 609; junication to applican	Draw line thi	rough citation if	
				9 01 11115 101111	WILLIAM COMMI	ameunen to appnean	2 2	-0	
							2 2	$\overline{\mathcal{M}}$	
							M 03 1	, J	
						ance with MPEP 609; nunication to applicant		₹	
						, v		7	
						, , , , , , , , , , , , , , , , , , ,	_	<i>,</i> .	
								•	